SARA Institution Provisional Participation Form (AF3)

A State, at its discretion, may approve an Institution applying for initial or renewal participation in SARA to participate on Provisional Status. To ensure all concerned have the same information for their files, once a State decides to provisionally approve an Institution for participation, the State must submit this document for the appropriate signatures. The State Portal Entity and Regional SARA Director must sign off on this approval before submission to NC-SARA staff.

After the Institution pays its NC-SARA fee, the Institution will be listed on the NC-SARA.org website with the following notation: *Because of certain concerns, this Institution has been approved by its State for Provisional participation in SARA (see the NC-SARA Manual). Please contact the State's SARA Portal Entity for additional information.*

NC-SARA has the responsibility to track all exceptions to SARA policy. This form provides a document trail, and more importantly, the approval process is confirmed at all levels to ensure accuracy of actions taken by NC SARA staff.

After all signatures have been obtained, please submit the form to forms@nc-sara.org.

To be completed by State Portal Entity

Institution name: ______________________________________________________
Institution address: _____________________________________________________
Institution State: _______________________________________________________
Primary Institution contact name: _________________________________________
Primary Institution contact email: _________________________________________
If Branch Campus, name of Main Campus: _________________________________
If Branch Campus, address of Main Campus: _______________________________

Reason for Provisional Participation
(Portal State Entity check all that apply):

_____ The Institution is on provisional or probationary status or the equivalent with its institutional Accrediting Agency;
_____ The Institution is currently required by the U.S. Department of Education to post a letter of credit or is under a cash management agreement with the U.S. Department of Education. (Such institutions must still have a Federal Financial Responsibility Composite Score above 1.0);
_____ The Institution is the subject of a publicly announced investigation by a Government agency, and the investigation is related to the Institution’s academic quality, financial stability or student consumer protection; or
_____ The Institution is the subject of a current investigation by its home State related to the Institution’s academic quality, financial stability or student consumer protection.
_____ The Institution’s Federal Financial Responsibility Composite Score falls between 1.0 and 1.5. (For renewing institutions or institutions between renewal periods. An Institution with a score below 1.0 is not eligible for SARA participation.)

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Will the State prohibit further enrollments under SARA for the year? _____ Yes    _____ No
Provisional Effective Date: ___________

State Portal Entity Contact Name: _________________________________________________
State Portal Entity Signature: ________________________________________ Date: _______

To be completed by Regional Compact
Regional Compact SARA Director Signature: _____________________________ Date: ______

To be completed by NC-SARA Staff
NC-SARA President or Associate Director for Student and Institutional Support
Date Noted on NC-SARA website __________  Date Noted in Salesforce: ___________

Institution Removed from Provisional Status
Provisional Extension Status Removed Effective Date: __________
State Portal Entity Contact Name: _________________________________________________
State Portal Entity Signature: ________________________________________ Date: _______

To be completed by Regional Compact SARA Director
Regional Compact SARA Director Signature: _____________________________ Date: ______

To be completed by NC-SARA Staff
NC-SARA President or Associate Director for Student and Institutional Support
Date Noted on NC-SARA website __________  Date Noted in Salesforce: ___________

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5/31/2019